

## IMPORTANT

If you are in any doubt about the contents of this notice, you should consult your stockbroker, bank manager, solicitor, accountant and other financial adviser for independent financial advice.

The Stock Exchange of Hong Kong Limited, the Hong Kong Exchange and Clearing Limited, the Hong Kong Securities Clearing Company Limited and the Hong Kong Securities and Futures Commission (the "SFC") take no responsibility for the contents of this notice, make no representation as to its accuracy or completeness and expressly disclaim any liability whatsoever for any loss howsoever arising from or in reliance upon the whole or any part of the contents of this notice.

## Horizons CSI 300 ETF (Stock Code: 3127 / 83127)

**the sub-fund (the "Sub-Fund") of Horizons Exchange Traded Funds Series (the "Trust")**  
*(A Hong Kong unit trust authorized under Section 104 of the Securities and Futures Ordinance (Cap. 571) of Hong Kong)*<sup>1</sup>

### Notice to Unitholders

Dear Unitholders,

Appointment of KGI Securities (Hong Kong) Limited, Nomura International (Hong Kong) Limited and Mirae Asset Securities (HK) Limited as Participating Dealers

We, as the Manager of the Trust, hereby inform you that effective from 26 September 2014, KGI Securities (Hong Kong) Limited, Nomura International (Hong Kong) Limited and Mirae Asset Securities (HK) Limited have been appointed as Participating Dealers of the Sub-Fund.

KGI Securities (Hong Kong) Limited has its registered office at 41/F, Central Plaza, 18 Harbour Road, Wanchai, Hong Kong. It is licensed to carry out Type 1 and 4 regulated activities in Hong Kong under the Securities and Futures Ordinance ("SFO").

Nomura International (Hong Kong) Limited has its registered office at 30/F Two International Finance Centre, 8 Finance Street, Central, Hong Kong. It is licensed to carry out Type 1, 2, 4, 5 and 6 regulated activities in Hong Kong under the SFO.

Mirae Asset Securities (HK) Limited has its registered office at Suite 1502 Level 15 Three Pacific Place, 1 Queen's Road East, Hong Kong. It is licensed to carry out Type 1 and 4 regulated activities in Hong Kong under the SFO.

The current list of Participating Dealers of the Sub-Fund is available on the Manager's website (<http://www.horizonsetfs.com.hk>)<sup>2</sup>.

If you have any questions concerning the above, please contact us at (852) 2295 1500 during office hours.

The Manager accepts responsibility for the accuracy of the contents of this notice.

Mirae Asset Global Investments (Hong Kong) Limited

26 September 2014

<sup>1</sup> SFC authorisation is not a recommendation or endorsement of a scheme nor does it guarantee the commercial merits of a scheme or its performance. It does not mean the scheme is suitable for all investors nor is it an endorsement of its suitability for any particular investor or class of investors.

<sup>2</sup> The website has not been reviewed by the SFC.